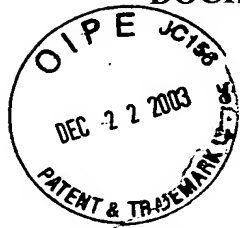


DOCKET NO.: ABDS-0008/B000471

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Edward M. Petrie, et al.

Application No.: 10/715,038

Filing Date: November 17, 2003

For: COMMUNICATION AND CONTROL NETWORK FOR DISTRIBUTED
POWER RESOURCE UNITS

Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: Dec. 19, 2003

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Frank T. Carroll
TYPED NAME: Frank T. Carroll
REGISTRATION NO.: 42,392

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;

or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☒ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
 - ☒ Copies of references **1 thru 38** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **09/752,574**, filed **December 29, 2000**.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: *Dec. 19, 2003*



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office				Docket No. ABDS-0008/B000471		Application No. 10/715,038	
				Applicant Edward M. Petrie, et al.			
				Filing Date November 17, 2003		Group Not Yet Assigned	
U. S. PATENT DOCUMENTS							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	1	3,683,161	08/08/72	Stadlin, et al.	235	151.21	
	2	3,719,809	03/06/73	Fink	235	151.21	
	3	3,913,829	10/21/75	Fink	235	151.21	
	4	4,527,071	07/02/85	Ausiello	290	4	
	5	5,237,507	08/17/93	Chasek	364	464.04	
	6	5,323,328	06/21/94	Tanaka	364	492	
	7	5,404,314	04/04/95	Knupp	364	493	
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	9	5,517,422	05/14/96	Ilic, et al.	364	492	
	10	5,621,654	04/15/97	Cohen, et al.	364	493	
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	12	5,794,212	08/11/98	Mistr, Jr.	705	26	
	13	5,754,033	05/19/98	Thomson	322	45	
	14	5,894,422	04/13/99	Chasek	364	528.26	
	15	5,974,403	10/26/99	Takriti, et al.	705	412	
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	17	6,084,318	07/04/00	Mardirossian	307	72	
	18	6,021,402	02/01/00	Takriti	705	412	
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	20	5,818,725	10/06/98	McNamara, et al.	364	483	
EXAMINER				DATE CONSIDERED			



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. ABDS-0008/B000471	Application No. 10/715,038
	Applicant Edward M. Petrie, et al.	
	Filing Date November 17, 2003	Group Not Yet Assigned

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Examiner Initial		Document No.	Date	Name	Class	Subclass
	21	5,598,349	01/28/97	Elliason, et al.	364	492
	22	5,278,772	01/11/94	Knupp	364	492
	23	5,436,510	07/25/95	Gilbert	307	38
	24	5,930,779	07/27/99	Knoblock, et al.	705	412
	25	5,694,329	12/02/97	Pomatto	364	492
	26	6,088,659	07/11/00	Kelley, et al.	702	62
	27	5,664,101	09/02/97	Picache	395	200
	28	5,598,420	01/28/97	Kaufmann	371	20.1
	29	5,805,442	09/08/98	Crater, et al.	364	138
	30	5,896,382	04/20/99	Davis, et al.	370	401
	31	5,956,847	09/21/99	Venkatraman, et al.	395	200.48
	32	5,973,696	10/26/99	Agranat, et al.	345	357
	33	6,061,603	05/09/00	Papadopoulos, et al.	700	83
	34	6,111,735	09/29/00	Nelson, et al.	361	64
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	36	5,553,003	09/03/96	Harada, et al.	700	286
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EXAMINER				DATE CONSIDERED		



Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. ABDS-0008/B000471	Application No. 10/715,038
		Applicant Edward M. Petrie, et al.	
		Filing Date November 17, 2003	Group Not Yet Assigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	39	Brain Power TM , ABB, "Focus on Transmission and Distribution", <i>ABB Review, The Corporate Technical Journal of the ABB Group</i> , 2000, 3, 1-75	
EXAMINER		DATE CONSIDERED	